

# CONCEPTUALIZATION OF PEF REQUIREMENTS AS PRELIMINARY PART OF THE GUIDELINES (DT2.2.5)

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## 1.1. Introduction

The CIRCE2020 project is aimed at introducing innovative solutions for the industrial waste management in order to reduce dependencies from primary natural resources within industrial processing. In fact, traditional waste management is based on a linear approach, in which all the residues and industrial flows generated in a manufacturing process are sent to disposal, without taking into account their potential of valorisation within the production system or for another one (industrial symbiosis). In this sense, CIRCE2020 represents for the 5 pilot areas, in which the innovative solutions (hereafter referred to as Circular Economy cases) will be identified and tested, an important step to move to a closed loop system based on innovative reusing, remanufacturing and recycling products.

In the project framework, in order to test the environmental sustainability of the pre-selected Circular Economy (CE) cases in the pilot areas, a life cycle assessment will be performed based on the latest Product Environmental Footprint (PEF) methodological requirements. In particular, specific guidelines for developing the PEF-based study will be developed by Ecoinnovazione, ETRA external expert, with a two-fold purpose:

- Adapting the PEF methodology to the project specific application (waste management or, in more general terms, the optimisation of use of virgin resources) and simplifying some specific methodological requirements, which are still under definition ;
- Define a set of specific rules to calculate the relevant environmental impacts of the CE cases and their potential improvements with respect to current management of the analysed waste streams with the aim of enabling comparisons of the CE cases analysed within the pilot areas.

In the present document the conceptualisation of the PEF requirements, which will be further developed and integrated in the guidelines, is illustrated.

It must be underlined that the guidelines, and thus even this preliminary document, are not aimed to be PEF fully compliant, but they can be used as supporting documents for developing PEF compliant studies.

## 1.2. PEF methodology

The Product Environmental Footprint (PEF) is a Life Cycle Assessment (LCA) based method to quantify the relevant environmental impacts of products (goods or services). It builds on existing approaches and international standards. The aim of the PEF is to set the basis for better reproducibility and comparability of the results.

In recent years, the environmental considerations are increasingly part of the operations and marketing strategies for a large number of companies, and for their investors. Such companies are increasingly using Life Cycle Assessment (LCA) as a tool to assess their own, or their suppliers' green credentials and to measure (and improve) the environmental performance of their products.

The number of footprint methods (e.g. carbon footprint, water footprint) is rapidly increasing, in parallel with a proliferation of national and private sector initiatives.



This fact can generate significant costs for businesses, especially in case they need to use different methods or if they have to comply with labelling and verification requirements for different countries and retailers.

In addition to the extra costs, the proliferation of methods may also reduce the opportunity for producers of green products to trade them, even within the EU.

Companies may want to trade across national borders, but find that the requirements related to the environmental information for the products they intend to sell change across those borders.

On the other hand, the proliferation of national standard and labelling schemes generates on consumers a lack of trust on the environmental information provided by producers and retailers. Moreover, often the environmental performance of products is not communicated in a way that is comparable, thus limiting the ability to make informed choices.

In order to tackle these criticalities, the European Commission in the COM(2013) 196 final “Building the Single Market for Green Products. Facilitating better information on the environmental performance of products and organisations” defined two LCA-based methods for assessing the environmental performances of products and organisations: the Product Environmental Footprint (PEF) and the Organisation Environmental Footprint (OEF).

The guidelines of the two methods were published as an Annex to the Commission Recommendation on the use of common methods to measure and communicate the life cycle environmental performance of products and organisations. The two methods are tightly interlinked and will have many elements in common.

They introduce several important improvements compared to other existing methods, among others:

- a clear identification of the potential environmental impact categories to be looked at in order to perform a comprehensive LCA;
- the requirement to quantify data quality;
- setting minimum data quality requirements;
- clearer technical instructions for addressing some critical aspects of a LCA study (such as allocation, recycling).

With the purpose of verifying the effectiveness of the methods proposed, the technical guide developed by JRC IES was tested between 2013-2017 using a limited number of pilot studies representative of a wide variety of goods and services based on a call for volunteers.

As for PEF, the testing included: agriculture, construction, chemicals, ICT, food, manufacturing (footwear, televisions, paper, leather, t-shirt). In each pilot the relevant stakeholders of the analysed sectors are involved, namely material suppliers, manufacturers, trade associations, purchasers, users, consumers, government representatives, non-governmental organizations (NGOs), public agencies and, when relevant, independent parties and certification bodies.

Besides verifying the effectiveness of the methods proposed, the pilots have to develop specific guidance and rules - Product Environmental Footprint Category Rules (PEFCRs) - for calculating and reporting products' life cycle environmental impacts.

Based on the results of the testing, the European Commission will propose how to use the Product and Organisation Environmental Footprint methods in policies.



In the period between the end of the Environmental Footprint pilot phase and the possible adoption of policies implementing the Product Environmental Footprint (PEF) and Organisation Environmental Footprint (OEF) methods, a transition phase is established (2018-2020).

The main aims of the transition phase are to provide a framework for

- monitoring the implementation of existing Product Environmental Footprint Category Rules (PEFCRs) and Organisation Environmental Footprint Sector Rules (OEFSRs);
- developing new PEFCRs/ OEFSRs;
- new methodological developments.

The development of new PEFCRs / OEFSRs will be subject to a call for volunteers belonging to the following clusters:

- Apparel and footwear;
- Beverages;
- Chemistry based final products;
- Construction products;
- Electrical and electronics;
- Food products (including products not for human consumption);
- Materials and intermediate products;
- To be defined based on which products or sectors will engage in the development of PEFCRs/OEFSRs.

### 1.3. Definition of the functional unit

The functional unit (FU) is the quantified performance of a product system, to be used as a reference unit (e.g., the FU of paint could be described as providing protection of 1m<sup>2</sup> of substrate for 50 years with a minimum 98% opacity). Meaningful comparisons shall only be made when products can fulfil the same function. Therefore, the FU should describe qualitatively and quantitatively the function(s) and duration of the product, according to the four aspects reported in Table 1:

Table 1: Four aspects of the FU to be taken into account

Elements of the FU	Example (for a dairy product)
1. The function(s)/service(s) provided: <b>“what”</b>	<i>To provide nutritional and health benefits (protein, calcium, vitamins, etc.) to humans</i>
2. The extent of the function or service: <b>“how much”</b>	<i>Mass, volume, serving size or specific nutritional aspect (fat, calcium, protein, etc.) relevant to the study objectives</i>



3. The expected level of quality: <b>“how well”</b>	<i>Fit for human consumption</i>
4. The duration/life time of the product: <b>“how long”</b>	<i>From milking to consumption: duration is related to the product conservation (i.e. up to the expiration date), which depends on multiple parameters such as type of processing, thermal treatment or packaging</i>

A specific case referred to the waste management will be reported in the final version of the guidelines.

For intermediate products, the FU is more difficult to define because they can often fulfil multiple functions and the whole life cycle of the product is not known. Therefore, a declared unit should be applied, for example, mass (kilogram) or volume (cubic meter).

## 1.4. Life cycle inventory

### 1.4.1. Data collection

Generally, during the data collection phase two types of data have to be gathered:

1. Specific data, otherwise called primary data, which are data directly measured or collected representative of activities at a specific facility or set of facilities. The data should include all known inputs and outputs for the processes. Inputs are (for example) use of energy, water, materials, etc. Outputs are the products, co-products, and emissions to environment.
2. Generic data (secondary data) refers to data that are not based on direct measurements or calculation of the respective processes in the system. Generic data can be either sector-specific, i.e. specific to the sector being considered for the PEF-based study, or multi- sector.

The choice between using primary and secondary data is dealt with the PEF methodology in a different way than with “traditional” LCA approach. One of the main features of the PEF method is the attempt to operationalise the “materiality” approach, i.e. focusing where it really matters. In the PEF context the materiality approach is developed around two main areas:

1. Impact categories, life cycle stages, processes. These should be the contributions where companies or other relevant stakeholders should focus more;
2. Data requirements: as the most relevant contributions are those driving the environmental profile of a product, these shall be assessed by using data with higher quality compared to the less relevant contributions, independently from where these processes happen in the supply chain.

In this perspective what becomes relevant are two elements:

1. Which are the processes that are driving the environmental profile of the product (most relevant processes)?



2. What is the level of influence that the company performing the study has on them?
  - a) Is the process run by the company performing the study?
  - b) If not, does the company have the possibility to have access to more specific data?

Based on the relevance of the processes for each impact category and the level of influence a company performing the study will have to use for each process data according to one of the options described in Table 2. Whenever possible, option 1 represents the preferred one.

**Table 2: PEF “materiality” approach**

		Most relevant process	Other process
<b>Situation 1</b> : process run by the company applying the PEFCR	<b>Option 1</b>	Provide company-specific data	
	<b>Option 2</b>	Provide company-specific data	Use default secondary dataset in aggregated form
<b>Situation 2</b> : process <u>not</u> run by the company applying the PEFCR but with access to company-specific information	<b>Option 1</b>	Provide company-specific data	
	<b>Option 2</b>	Use company-specific activity data for transport (distance), and use the specific supply-chain electricity mix and means of transport (available at <a href="http://lcdn.thinkstep.com/Node/">http://lcdn.thinkstep.com/Node/</a> )	
<b>Situation 3</b> : process <u>not</u> run by the company applying the PEFCR and without access to company-specific information		Use default secondary data set in aggregated form	

#### 1.4.2. How to deal with data gaps

Data gaps exist when there is no specific or generic data available that is sufficiently representative of the given process in the product’s life cycle. For most processes where data may be missing it should be possible to obtain sufficient information to provide a reasonable estimate of the missing data. Therefore, there should be few, if any, data gaps in the final Life Cycle Inventory. Missing information can be of different types and have different characteristics, each requiring separate resolution approaches.

Data gaps may exist when:





- Data does not exist for a specific input/product, or
- Data exists for a similar process (proxy) but:
  - > The data has been generated in a different region;
  - > The data has been generated using a different technology;
  - > The data has been generated in a different time period.

Data gaps stemming during the data collection will be treated according to the hierarchy reported below:

- Proxy data as much as possible representative of the reference sector will be selected from specific literature sources. The update of the data and the adjustment of the geographical context will be performed with the support of high skilled experts with a deep knowledge of the product system under study.
- Generic data will be selected among LCA databases based on expert judgment.- If those data during the life cycle assessment would be identified as most relevant processes, they will be treated with a sensitivity analysis.

#### 1.4.3. Cut-offs

The cut-off criteria for initial inclusion of inputs and outputs and the assumptions on which the cut-off criteria are established shall be clearly described. The effect on the outcome of the PEF-based study of the cut-off criteria selected shall also be assessed and described in the final report.

Several cut-off criteria are used in LCA practice to decide which inputs are to be included in the assessment, such as mass, energy and environmental significance. Making the initial identification of inputs based on mass contribution alone may result in important inputs being omitted from the study. Accordingly, energy and environmental significance should also be used as cut-off criteria in this process.

1. **Mass:** an appropriate decision, when using mass as a criterion, would require the inclusion in the study of all inputs that cumulatively contribute more than a defined percentage to the mass input of the product system being modelled.
2. **Energy:** similarly, an appropriate decision, when using energy as a criterion, would require the inclusion in the study of those inputs that cumulatively contribute more than a defined percentage of the product system's energy inputs.
3. **Environmental significance:** decisions on cut-off criteria should be made to include inputs that contribute more than an additional defined amount of the estimated quantity of individual data of the product system that are specially selected because of environmental relevance.

Similar cut-off criteria may also be used to identify which outputs should be traced to the environment, e.g. by including final waste treatment processes.

#### 1.4.4. Handling multifunctional processes

If a process or facility provides more than one function, i.e. it delivers several goods and/or services ("co-products"), it is "multifunctional". In these situations, all inputs and emissions



linked to the process shall be partitioned between the product of interest and the other co-products in a principled manner. Systems involving multi-functionality of processes shall be modelled in accordance with the following decision hierarchy.

## Decision hierarchy

### I) Subdivision or system expansion

Wherever possible, subdivision or system expansion should be used to avoid allocation. Subdivision refers to disaggregating multifunctional processes or facilities to isolate the input flows directly associated with each process or facility output. System expansion refers to expanding the system by including additional functions related to the co-products. It shall be investigated first whether the analysed process can be subdivided or expanded. Where subdivision is possible, inventory data should be collected only for those unit processes directly attributable to the goods/services of concern. Or if the system can be expanded, the additional functions shall be included in the analysis with results communicated for the expanded system as a whole rather than on an individual co-product level.

### II) Allocation based on a relevant underlying physical relationship

Where subdivision or system expansion cannot be applied, allocation should be applied: the inputs and outputs of the system should be partitioned between its different products or functions in a way that reflects relevant underlying physical relationships between them (ISO 14044:2006).

Allocation based on a relevant underlying physical relationship refers to partitioning the input and output flows of a multi-functional process or facility in accordance with a relevant, quantifiable physical relationship between the process inputs and co-product outputs (for example, a physical property of the inputs and outputs that is relevant to the function provided by the co-product of interest). Allocation based on a physical relationship can be modelled using direct substitution if a product can be identified that is directly substituted .

Can a direct substitution-effect be robustly modelled? This can be demonstrated by proving that (1) there is a direct, empirically demonstrable substitution effect, AND (2) the substituted product can be modelled and the resource use and emissions profile data subtracted in a directly representative manner: If yes (i.e. both conditions are verified), model the substitution effect.

Or

Can input/output flows be allocated based on some other relevant underlying physical relationship that relates the inputs and outputs to the function provided by the system? This can be demonstrated by proving that a relevant physical relationship can be defined by which to allocate the flows attributable to the provision of the defined function of the product system: If yes, allocate based on this physical relationship.

### III) Allocation Based on Some Other Relationship

Allocation based on some other relationship may be possible. For example, economic allocation refers to allocating inputs and outputs associated with multi-functional processes to the co-



product outputs in proportion to their relative market values. The market price of the co-functions should refer to the specific condition and point at which the co-products are produced. Allocation based on economic value shall only be applied when (I and II) are not possible. In any case, a clear justification for having discarded I and II and for having selected a certain allocation rule in step III shall be provided, to ensure the physical representativeness of the PEF-based results as far as possible.

Allocation based on some other relationship can be approached in one of the following alternative ways:

Can an indirect substitution effect be identified? AND can the substituted product be modelled and the inventory subtracted in a reasonably representative manner? If yes (i.e. both conditions are verified), model the indirect substitution effect.

Or

Can the input/output flows be allocated between the products and functions on the basis of some other relationship (e.g. the relative economic value of the co-products)? If yes, allocate products and functions on the basis of the identified relationship

Dealing with multi-functionality of products is particularly challenging when recycling or energy recovery of one (or more) of these products is involved as the systems tend to get rather complex. The Circular Footprint Formula (see section 1.4.8) provides an approach that shall be used to estimate the overall emissions associated to a certain process involving recycling and/or energy recovery. These moreover also relate to waste flows generated within the system boundaries.

#### 1.4.5. Climate change modelling

The PEF guide indicates that credits from 'temporary carbon storage' are excluded. This means that emissions emitted within a limited amount of time after their uptake shall be counted for as emitted "now" and there is no discounting of emissions within that given time frame (also in line with ISO/TS14067). The term 'limited amount of time' is here defined as 100 years, in line with other guiding documents such as in ILCD handbook (JRC 2016) and PAS2050:2011. Therefore, biogenic carbon emitted later than 100 years after its uptake is considered as permanent carbon storage.

##### 1.4.5.1. Climate change fossil

This category covers greenhouse gas (GHG) emissions to any media originating from the oxidation and/or reduction of fossil fuels by means of their transformation or degradation (e.g. combustion, digestion, landfilling, etc). This impact category includes emissions from peat and calcination/carbonation of limestone.



Modelling requirements: The flows falling under this definition should be modelled consistently with the most updated ILCD list of elementary flows<sup>1</sup>. The names ending with '(fossil)' (e.g., 'carbon dioxide (fossil)' and 'methane (fossil)') shall be used if available.

#### 1.4.5.2. Climate change biogenic

This sub-category covers carbon emissions to air (CO<sub>2</sub>, CO and CH<sub>4</sub>) originating from the oxidation and/or reduction of aboveground biomass by means of its transformation or degradation (e.g. combustion, digestion, composting, landfilling) and CO<sub>2</sub> uptake from the atmosphere through photosynthesis during biomass growth - i.e. corresponding to the carbon content of products, biofuels or above ground plant residues such as litter and dead wood.

Modelling requirements: the flows falling under this definition shall be modelled consistently with the most updated ILCD list of elementary flows and using the flow names ending with '(biogenic)', modelling all emissions and removals separately. In this case, the corresponding characterisation factors for biogenic CO<sub>2</sub> uptakes and emissions are set to zero.

#### 1.4.5.3. Climate change - land use and land transformation

This sub-category accounts for carbon uptakes and emissions (CO<sub>2</sub>, CO and CH<sub>4</sub>) originating from carbon stock changes caused by land use change and land use. Considering the specific application of this guidance and the lack of scientific consensus on a uniform approach for dealing land use, it was decided that this sub-category do not need to be calculated.

For biogenic carbon modelling the list of characterisation factors in Table 3 shall be applied:

Table 3. CFs (in CO<sub>2</sub>-equivalents, with carbon feedbacks).

Substance	Compartment	GWP <sub>100</sub>
Carbon dioxide (fossil)	Air emission	1
Methane (fossil)	Air emission	36.75
Carbon monoxide (fossil)	Air emission	1.57 <sup>2</sup>
Carbon dioxide (biogenic)	Resources from air	0
Carbon dioxide (biogenic-100yr)	Resources from air	-1
Carbon dioxide (biogenic)	Air emission	0
Methane (biogenic)	Air emission	34
Carbon monoxide (biogenic)	Air emission	0

#### 1.4.6. Electricity modelling

In PEF- based studies the electricity mix used can be produced by:

<sup>1</sup>

<http://eplca.jrc.ec.europa.eu/ELCD3/elementaryFlowList.xhtml;jsessionid=2F73DCD64E29860321DF038227916F2A?stock=default>

<sup>2</sup> The effects of near term climate forcers are uncertain and therefore excluded (following the UNEP/SETAC recommendations of the Pellston Workshop, January 2016). The GWP presented here represents only the effects from degradation of CO into CO<sub>2</sub> (stoichiometric calculation).



1. The national grid mix;
2. A specific electricity supplier;
3. On-site electricity generation.

In first case, the country specific mix shall be used (available at <http://lcdn.thinkstep.com/Node/>). Country-specific means the country in which the life cycle stage occurs.

In the second case, if the supplier is able to guarantee the electricity mix supplied to the organisation (in particular for the electricity generated using renewable sources) the supplier specific mix shall be used. For instance, a reliable supporting documentation is the Guarantee of Origin for production of renewable electricity. Otherwise, the country specific mix shall be considered.

As for the latter case, two situations could occur:

- The on-site electricity production is equal to the site own consumption, in this case the organisation specific electricity mix shall be modelled;
- If electricity is produced in excess of the amount consumed on-site within the defined system boundary and is sold to, for example, the electricity grid, this system can be seen as a multifunctional situation. The system will provide two functions (e.g. product + electricity) and the following rules shall be followed:
  - > If possible, apply subdivision.
  - > Subdivision applies both to separate electricity productions or to a common electricity production where you may allocate based on electricity amounts the upstream and direct emissions to your own consumption and to the share you sell out of your company (e.g. if a company has a wind mill on its production site and export 30% of the produced electricity, emissions related to 70% of produced electricity should be accounted in the PEF-based study).
  - > If not possible, direct substitution shall be used. The country-specific residual consumption electricity mix shall be used as substitution .
  - > Subdivision is considered as not possible when upstream impacts or direct emissions are closely related to the product itself.

## 1.4.7. Modelling transport

### 1.4.7.1. Truck transport

LCA datasets for truck transport are per tkm (tonne\*km) expressing the environmental impact for 1 tonne of product that drives 1km in a truck with certain load. The transport payload (=maximum mass allowed) is indicated in the dataset. For example, a truck of 28-32t has a payload of 22t. The LCA dataset for 1tkm (fully loaded) expresses the environmental impact for 1 ton of product that drives 1km within a 22t loaded truck. The transport emissions are allocated based on the mass of the product transported and you get only 1/22 share of the full emissions of the truck. When the mass of a full freight is lower than the load capacity of the truck (e.g., 10t), the transport of the product may be considered volume limited. In this case, the truck has less fuel consumption per total load transported and the environmental impact



per ton of product is 1/10 share of the total emissions of the volume limited truck. Within the EF-compliant transport datasets available at <http://lcdn.thinkstep.com/Node/>, the transport payload is modelled in a parameterised way through the utilisation ratio. The utilisation ratio is calculated as the kg real load divided by the kg payload and shall be adjusted upon the use of the dataset. In case the real load is 0 kg, a real load of 1 kg shall be used to allow the calculation. Note that default truck volumes cannot be provided as this strongly depends on the type of material transported.

- If the load is mass limited: a default utilisation ratio of 64% shall be used. This utilisation ratio includes empty return trips. Therefore, empty returns shall not be modelled separately.
- If the load is volume limited and the full volume is used: the company-specific utilisation ratio calculated as the kg real load/kg payload of the dataset shall be used (also including empty return).
- Bulk transport (e.g., gravel transport from mining pit to concrete plant) shall be modelled with a default utilisation ratio of 50% (100% loaded outbound and 0% loaded inbound).

#### 1.4.7.2. From supplier to factory

In case the primary data for transport are not available, the default data provided below shall be used.

##### i. For suppliers located within Europe:

the following scenario shall be used:

- 130 km by truck (>32 t, EURO 4; UUID 938d5ba6-17e4-4f0d-bef0-481608681f57), using as default an utilisation ratio of 64%; and
- 240 km by train (average freight train; UUID 02e87631-6d70-48ce-affd-1975dc36f5be); and
- 270 km by ship (barge; UUID 4cfacea0-cce4-4b4d-bd2b-223c8d4c90ae).

##### ii. For all suppliers located outside Europe

the following scenario shall be used:

- 1000 km by truck (>32 t, EURO 4; UUID 938d5ba6-17e4-4f0d-bef0-481608681f57), for the sum of distances from harbour/airport to factory outside and inside Europe. using as default an utilisation ratio of 64%; and
- 18000 km by ship (transoceanic container; UUID 6ca61112-1d5b-473c-abfa-4accc66a8a63) or 10'000 km by plane (cargo; UUID 1cc5d465-a12a-43da-aa86-a9c6383c78ac).
- If producers country (origin) is known: the adequate distance for ship and airplane should be determined using <http://www.searates.com/services/routes-explorer> or [https://co2.myclimate.org/en/flight\\_calculators/new](https://co2.myclimate.org/en/flight_calculators/new)

In case it is unknown if the supplier is located within or outside Europe, the transport shall be modelled as supplier being located outside Europe.



### 1.4.7.3. From EoL collection to EoL treatment

The transport from collection place to EoL treatment is included in the landfill, incineration and recycling datasets tendered by the EC. However, there are some cases, where additional default data might be needed. The following values shall be used in case no better data is available:

- Consumer transport from home to sorting place: 1 km by passenger car (UUID 1ead35dd-fc71-4b0c-9410-7e39da95c7dc )
- Transport from collection place to anaerobic digestion: 100 km by truck (>32 t, EURO 4; UUID 938d5ba6-17e4-4f0d-bef0-481608681f57)
- Transport from collection place to composting: 30 km by truck (lorry <7.5t, EURO 3 with UUID aea613ae-573b-443a-aba2-6a69900ca2ff)

### 1.4.8. End of life modelling

A particular case of multifunctional system can arise in the end of life (EoL) phase, when reuse, recycling or energy recovery of one (or more) of the products is involved as the systems tend to get rather complex.

According to the PEF methodology, in such cases the Circular footprint formula (CFF) shall be applied (Equation 1):

$$\text{Material } (1 - R_1)E_V + R_1 \times \left( AE_{recycled} + (1 - A)E_V \times \frac{Q_{sin}}{Q_p} \right) + (1 - A)R_2 \times \left( E_{recyclingEoL} - E_V^* \times \frac{Q_{Sout}}{Q_p} \right)$$

$$\text{Energy } (1 - B)R_3 \times (E_{ER} - LHV \times X_{ER,heat} \times E_{SE,heat} - LHV \times X_{ER,elec} \times E_{SE,elec})$$

$$\text{Disposal } (1 - R_2 - R_3) \times E_D$$

Equation 1 - The Circular Footprint Formula (CFF)

- > **A**: allocation factor of burdens and credits between supplier and user of recycled materials.
- > **B**: allocation factor of energy recovery processes: it applies both to burdens and credits.
- > **Q<sub>sin</sub>**: quality of the ingoing secondary material, i.e. the quality of the recycled material at the point of substitution.
- > **Q<sub>sout</sub>**: quality of the outgoing secondary material, i.e. the quality of the recyclable material at the point of substitution.
- > **Q<sub>p</sub>**: quality of the primary material, i.e. quality of the virgin material.
- > **E V** = specific emissions and resources consumed (per unit of analysis) arising from the acquisition and pre-processing of virgin material. If this information is not available, generic data should be used which should be sourced according to the sources of generic data.
- > **E\* V** = specific emissions and resources consumed (per unit of analysis) arising from the acquisition and pre-processing of virgin material assumed to be substituted by recyclable materials:



- If only closed-loop recycling takes place:  $E^* V = E V$
  - If only open-loop recycling takes place:  $E^* V = E' V$  represents the input of virgin material that refers to the actual virgin material substituted through open-loop recycling. If this information is not available, assumptions should be made as to what virgin material is substituted, or average data should be used which should be sourced according to the sources of generic data listed in section 5.8. If no other relevant information is available it could be assumed that  $E' V = E V$ , as if closed-loop recycling had taken place.
- > **E recycled** = specific emissions and resources consumed (per unit of analysis) arising from the recycling process of the recycled (or reused) material, including collection, sorting and transportation processes. If this information is not available, generic data should be used which should be sourced according to the sources of generic data.
- > **E recyclingEoL** = specific emissions and resources consumed (per unit of analysis) arising from the recycling process at the end-of-life stage, including collection, sorting and transportation processes. If this information is not available, generic data should be used which should be sourced according to the sources of generic data.

Note: in closed loop recycling situations  $E_{\text{recycled}} = E_{\text{recyclingEoL}}$  and  $E^* V = E V$

- > **E D** = specific emissions and resources consumed (per unit of analysis) arising from disposal of waste material at the EoL of the analysed product (e.g. landfilling, incineration, pyrolysis). If this information is not available, generic data should be used which should be sourced according to the sources of generic data.
- > **E\* D** = specific emissions and resources consumed (per unit of analysis) arising from disposal of waste material (e.g. landfilling, incineration, pyrolysis) at the EoL of the material where the recycled content is taken from. If this information is not available, generic data should be used which should be sourced according to the sources of generic data.
- If only closed-loop recycling takes place:  $E^* D = E D$
  - If only open-loop recycling takes place:  $E^* D = E' D$  represents the disposal of the material where the recycled content is taken from. If this information is not available, assumptions should be made as how this material would have been disposed if it was not recycled. If no relevant information is available it could be assumed that  $E' D = E D$ , as if closed-loop recycling had taken place.
- > **E ER** = specific emissions and resources consumed (per unit of analysis) arising from the energy recovery process. If this information is not available, generic data should be used which should be sourced according to the sources of generic data.
- > **E SE,heat** and **E SE,elec** = specific emissions and resources consumed (per unit of analysis) that would have arisen from the specific substituted energy source, heat and electricity respectively. If this information is not available, generic data should be used which should be sourced according to the sources of generic data.
- > **R 1** [dimensionless] = “recycled (or reused) content of material”, is the proportion of material in the input to the production that has been recycled in a previous system ( $0 \leq R_1 \leq 1$ ). If this information is not available, comprehensive and regularly updated





statistical information on recycling rates and other relevant parameters can be obtained from suppliers such as Eurostat.

- > **R 2** [dimensionless] = “recycling (or reuse) fraction of material”, is the proportion of the material in the product that will be recycled (or reused) in a subsequent system. R 2 shall therefore take into account the inefficiencies in the collection and recycling (or reuse) processes ( $0 \leq R 2 \leq 1$ ). If this information is not available, comprehensive and regularly updated statistical information on recycling rates and other relevant parameters can be obtained from suppliers such as Eurostat.
- > **R 3** [dimensionless] = the proportion of material in the product that is used for energy recovery (e.g. incineration with energy recovery) at EoL ( $0 \leq R 3 \leq 1$ ). If this information is not available, comprehensive and regularly updated statistical information on recycling rates and other relevant parameters can be obtained from suppliers such as Eurostat.
- > **LHV** = Lower Heating Value [e.g. J/kg] of the material in the product that is used for energy recovery. This should be determined with an appropriate laboratory method. If this is not possible or feasible, generic data should be used (see, for example, the “ELCD Reference elementary flows”, and the ELCD database under EoL treatment / Energy recycling)
- > **X ER, heat** and **X ER, elec** [dimensionless] = the efficiency of the energy recovery process ( $0 < X ER < 1$ ) for both heat and electricity, i.e. the ratio between the energy content of output (e.g. output of heat or electricity) and the energy content of the material in the product that is used for energy recovery. X ER shall therefore take into account the inefficiencies of the energy recovery process ( $0 \leq X ER < 1$ ). If this information is not available, generic data should be used (see, for example, EoL treatment / Energy recycling in the ELCD database).

The Circular footprint formula represents the methodological basis from which a simplified formula will be defined in the final version of the guidelines for handling the multifunctionality at the EoL stage in the CIRCE2020 framework.

#### 1.4.9. Data quality requirements

The data quality requirements should address the following elements, as defined by the ISO 14044 standard:

- **time-related coverage:** age of data and the minimum length of time over which data should be collected;
- **geographical coverage:** geographical area from which data for unit processes should be collected to satisfy the goal of the study;
- **technology coverage:** specific technology or technology mix;
- **precision:** measure of the variability of the data values for each data expressed (e.g. variance);
- **completeness:** percentage of flow that is measured or estimated;



- **representativeness:** qualitative assessment of the degree to which the data set reflects the true population of interest (i.e. geographical coverage, time period and technology coverage);
- **consistency:** qualitative assessment of whether the study methodology is applied uniformly to the various components of the analysis;
- **reproducibility:** qualitative assessment of the extent to which information about the methodology and data values would allow an independent practitioner to reproduce the results reported in the study;
- **sources of the data;**
- **uncertainty of the information** (e.g. data, models and assumptions).

## 1.5. Life cycle impact assessment

### 1.5.1. Characterisation

The list of the 16 impact categories to be used to calculate the PEF profile is reported in Table 4.

The list of updated characterisation factors to be used will be included in the final guidelines.

**Table 4: List of recommended models at midpoint, together with their indicator, unit and source.**

Recommendation at midpoint					
Impact category	Indicator	Unit	Recommended default LCIA method	Source of CFs	Robustness <sup>3</sup>
Climate change <sup>4</sup>	Radiative forcing as Global Warming Potential (GWP100)	kg CO <sub>2</sub> eq	Baseline model of 100 years of the IPCC (based on IPCC 2013)	EC-JRC, 2017 <sup>5</sup>	I
Ozone depletion	Ozone Depletion Potential (ODP)	kg CFC-11 eq	Steady-state ODPs as in (WMO 1999)	EC-JRC, 2017	I
Human toxicity, cancer*	Comparative Toxic Unit for humans (CTU <sub>h</sub> )	CTU <sub>h</sub>	USEtox model (Rosenbaum et al, 2008)	EC-JRC, 2017	III/interim
Human toxicity, non-cancer*	Comparative Toxic Unit for humans (CTU <sub>h</sub> )	CTU <sub>h</sub>	USEtox model (Rosenbaum et al, 2008)	EC-JRC, 2017	III/interim
Particulate matter	Impact on human health	disease incidence	PM method recommended by UNEP (UNEP 2016)	EC-JRC, 2017	I
Ionising radiation, human health	Human exposure efficiency relative to U <sup>235</sup>	kBq U <sup>235</sup> eq	Human health effect model as developed by	EC-JRC, 2017	II

<sup>3</sup> The recommended characterisation models and associated characterisation factors in ILCD are classified according to their quality into three levels: "Level I" (recommended and satisfactory), "Level II" (recommended but in need of some improvements) or "Level III" (recommended, but to be applied with caution). For more details: <http://epca.jrc.ec.europa.eu/uploads/LCIA-characterization-factors-of-the-ILCD.pdf>

<sup>5</sup> The full list of characterization factors (EC-JRC, 2017a) is available at this link <http://epca.jrc.ec.europa.eu/LCDN/developer.xhtml>



			Dreicer et al. 1995 (Frischknecht et al, 2000)		
<b>Photochemical ozone formation, human health</b>	Tropospheric ozone concentration increase	kg NMVOC <sub>eq</sub>	LOTOS-EUROS model (Van Zelm et al, 2008) as implemented in ReCiPe 2008	EC-JRC, 2017	II
<b>Acidification</b>	Accumulated Exceedance (AE)	mol H <sup>+</sup> <sub>eq</sub>	Accumulated Exceedance (Seppälä et al. 2006, Posch et al, 2008)	EC-JRC, 2017	II
<b>Eutrophication, terrestrial</b>	Accumulated Exceedance (AE)	mol N <sub>eq</sub>	Accumulated Exceedance (Seppälä et al. 2006, Posch et al, 2008)	EC-JRC, 2017	II
<b>Eutrophication, freshwater</b>	Fraction of nutrients reaching freshwater end compartment (P)	kg P <sub>eq</sub>	EUTREND model (Struijs et al, 2009) as implemented in ReCiPe	EC-JRC, 2017	II
<b>Eutrophication, marine</b>	Fraction of nutrients reaching marine end compartment (N)	kg N <sub>eq</sub>	EUTREND model (Struijs et al, 2009) as implemented in ReCiPe	EC-JRC, 2017	II
<b>Ecotoxicity, freshwater*</b>	Comparative Toxic Unit for ecosystems (CTU <sub>e</sub> )	CTU <sub>e</sub>	USEtox model, (Rosenbaum et al, 2008)	EC-JRC, 2017	III/interim
<b>Land use</b>	<ul style="list-style-type: none"> <li>• Soil quality index<sup>6</sup></li> <li>• Biotic production</li> <li>• Erosion resistance</li> <li>• Mechanical filtration</li> <li>• Groundwater replenishment</li> </ul>	<ul style="list-style-type: none"> <li>• Dimensionless (pt)</li> <li>• kg biotic production</li> <li>• kg soil</li> <li>• m<sup>3</sup> water</li> <li>• m<sup>3</sup> groundwater</li> </ul>	Soil quality index based on LANCA (Beck et al. 2010 and Bos et al. 2016)	EC-JRC, 2017	III
<b>Water use</b>	User deprivation potential (deprivation-weighted water consumption)	m <sup>3</sup> world <sub>eq</sub>	Available WATER REMaining (AWARE) as recommended by UNEP, 2016	EC-JRC, 2017	III
<b>Resource use<sup>7</sup>, minerals and metals</b>	Abiotic resource depletion (ADP ultimate reserves)	kg Sb <sub>eq</sub>	CML 2002 (Guinée et al., 2002) and van Oers et al. 2002.		III
<b>Resource use, fossils</b>	Abiotic resource depletion - fossil fuels (ADP-fossil) <sup>8</sup>	MJ	CML 2002 (Guinée et al., 2002) and van Oers et al. 2002	EC-JRC, 2017	III

<sup>6</sup> This index is the result of the aggregation, performed by JRC, of the 4 indicators provided by LANCA model as indicators for land use

<sup>7</sup> The indicator "biotic resource intensity" was initially recommended under the additional environmental information. It will be further worked upon and explored during the transition phase.

<sup>8</sup> In the ILCD flow list, and for the current recommendation, Uranium is included in the list of energy carriers, and it is measured in MJ.



\*Long-term emissions (occurring beyond 100 years) shall be excluded from the toxic impact categories. Toxicity emissions to this sub-compartment have a characterisation factor set to 0 in the EF LCIA (to ensure consistency). If included by the applicant in the LCI modelling, the sub-compartment 'unspecified (long-term)' shall be used.

### 1.5.2. Normalisation

The global normalisation factors to be used are listed in Table 5.

The list of updated normalisation factors to be used will be included in the final guidelines.

Table 5: List of PEF normalisation factors to be used

Impact category	Unit	Normalisation factor per person	Impact assessment robustness	Inventory coverage completeness	Inventory robustness	Comment
Climate change	kg CO <sub>2</sub> eq	7.76E+03	I	II	I	
Ozone depletion	kg CFC-11 eq	2.34E-02	I	III	II	
Human toxicity, cancer	CTUh	3.85E-05	II/III	III	III	
Human toxicity, non-cancer	CTUh	4.75E-04	II/III	III	III	
Particulate matter	disease incidence	6.37E-04	I	I/II	I /II	NF calculation takes into account the emission height both in the emission inventory and in the impact assessment.
Ionising radiation, human health	kBq U <sup>235</sup> eq	4.22E+03	II	II	III	
Photochemical ozone formation, human health	kg NMVOC eq	4.06E+01	II	III	I/II	
Acidification	mol H+ eq	5.55E+01	II	II	I/II	
Eutrophication, terrestrial	mol N eq	1.77E+02	II	II	I/II	
Eutrophication, freshwater	kg P eq	2.55E+00	II	II	III	
Eutrophication, marine	kg N eq	2.83E+01	II	II	II/III	



Land use	pt	1.33E+06	III	II	I I	The NF is built by means of regionalised CFs.
Ecotoxicity, freshwater	CTUe	1.18E+04	II/III	III	III	
Water use	m <sup>3</sup> world eq	1.15E+04	III	I	II	The NF is built by means of regionalised CFs.
Resource use, fossils	MJ	6.53E+04	III	I	II	
Resource use, minerals and metals	kg Sb eq	5.79E-02	III			

### 1.5.3. Weighting

Table 6: List of the PEF weighting factors to be used

	Final weighting factors
<b>WITHOUT TOX CATEGORIES</b>	
Climate change	<b>22.19</b>
Ozone depletion	<b>6.75</b>
Particulate matter	<b>9.54</b>
Ionizing radiation, human health	<b>5.37</b>
Photochemical ozone formation, human health	<b>5.1</b>
Acidification	<b>6.64</b>
Eutrophication, terrestrial	<b>3.91</b>
Eutrophication, freshwater	<b>2.95</b>
Eutrophication, marine	<b>3.12</b>
Land use	<b>8.42</b>
Water use	<b>9.03</b>
Resource use, minerals and metals	<b>8.08</b>
Resource use, fossils	<b>8.92</b>

## 1.6. Interpretation of the results

### 1.6.1. Procedure to identify the most relevant impact categories

The identification of the most relevant impact categories shall be based on the normalised and weighted results. At last three relevant impact categories shall be considered. The most relevant impact categories shall be identified as all impact categories that cumulatively



contribute to at least 80% of the total environmental impact (excluding toxicity related impact categories). This should start from the largest to the smallest contributions.

### 1.6.2. Procedure to identify the most relevant life cycle stages

The most relevant life cycle stages are the life cycle stages, which together contribute to at least 80% of any of the most relevant impact categories identified. This should start from the largest to the smallest contributions.

### 1.6.3. Procedure to identify the most relevant processes

Each most relevant impact category shall be further investigated to identify the most relevant processes used to model each life cycle stage. Similar/identical processes taking place in different life cycle stages (e.g. transportation) shall be accounted for separately. The identification of the most relevant processes shall be done at whole life cycle level.

The most relevant processes are those that collectively contribute at least with 80% to any of the most relevant impact categories identified.

In Table 7 the requirements to define most relevant contributions are summarized.

**Table 7 Summary of requirements to define most relevant contributions.**

Item	At what level does relevance need to be identified?	Threshold	Additional notes
MOST RELEVANT IMPACT CATEGORIES	Normalised and weighted results	Impact categories cumulatively contributing at least <b>80%</b> of the total environmental impact (excluding toxicity related impact categories)	
MOST RELEVANT LIFE CYCLE STAGES	For each most relevant impact category	All life cycle stages contributing cumulatively more than <b>80%</b> to that impact category	
MOST RELEVANT PROCESSES	For each most relevant impact category	All processes contributing cumulatively more than <b>80%</b> to that impact category	The identification of the most relevant processes shall be done at whole life cycle level



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## 1.7. References

European Commission, COMMUNICATION FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL. Building the Single Market for Green Products Facilitating better information on the environmental performance of products and organisations, COM(2013) 196 final.

European Commission, COMMISSION RECOMMENDATION of 9 April 2013 on the use of common methods to measure and communicate the life cycle environmental performance of products and organisations. OJ L 124/4.

European Commission, PEFCR Guidance document, - Guidance for the development of Product Environmental Footprint Category Rules (PEFCRs), version 6.3, December 2017.

UNI EN ISO 14040:2006 - Environmental management. Life cycle assessment .Principles and framework

UNI EN ISO 14044:2006 - Environmental management Life cycle assessment. Requirements and guidelines

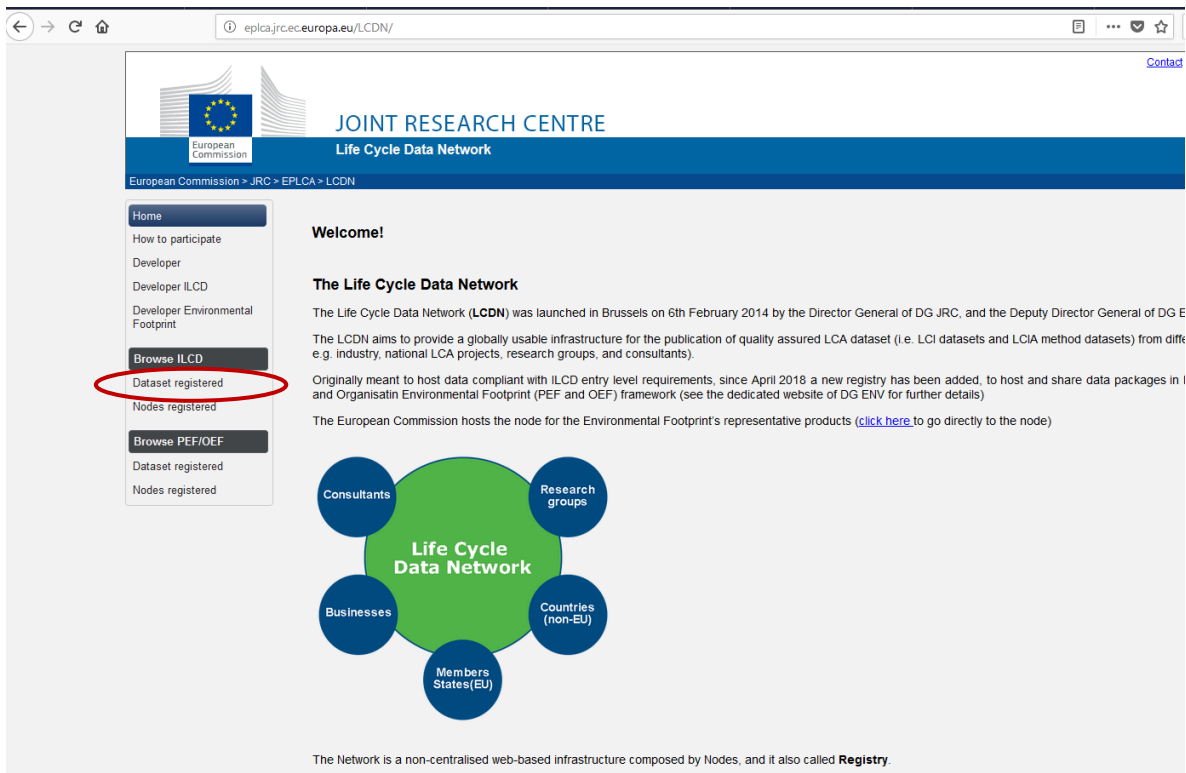


## ANNEX I Hierarchy for the selection of the LCI database

The selection of the LCI dataset to be used in the PEF-based study shall be done according to the hierarchy reported below:

1. For the processes included in the Life Cycle Data Network (<http://eplca.jrc.ec.europa.eu/LCDN/>), this database represents the preferred source to be used.

The following figures illustrate how to search a process and check the UUID

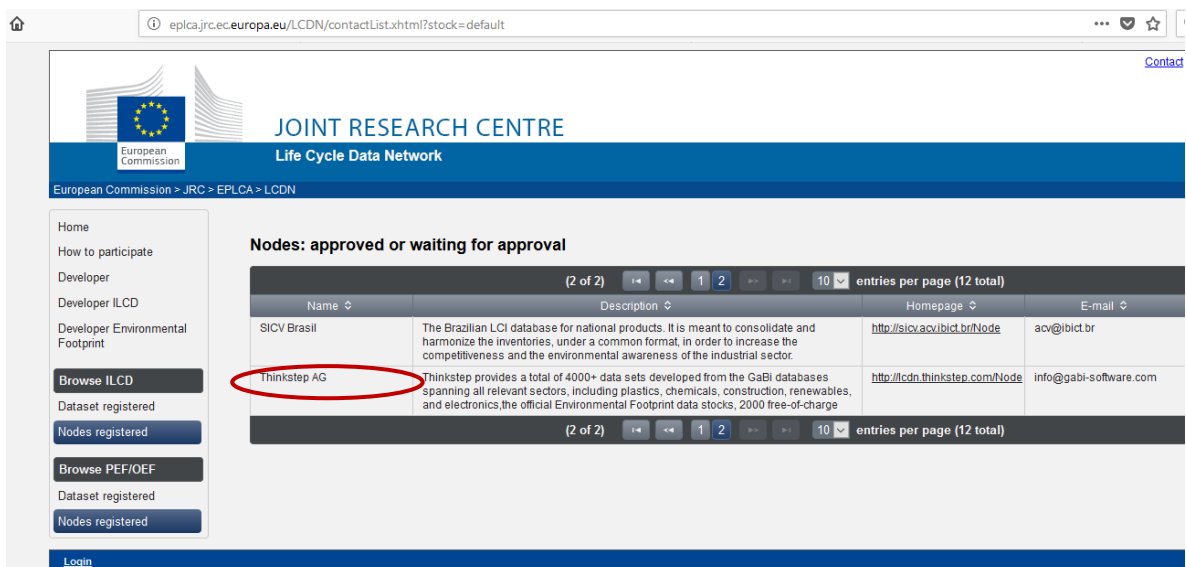


The screenshot shows the LCDN homepage with a navigation menu on the left. The 'Browse ILCD' option is highlighted with a red circle. The main content area features a 'Welcome!' message and a diagram of the 'Life Cycle Data Network' structure.

**Life Cycle Data Network Structure:**

- Central Node: Life Cycle Data Network
- Surrounding Nodes: Consultants, Research groups, Countries (non-EU), Members States (EU), Businesses

The Network is a non-centralised web-based infrastructure composed by Nodes, and it also called **Registry**.



The screenshot shows the 'Nodes: approved or waiting for approval' page. A table lists registered nodes, with 'Thinkstep AG' circled in red.

Name	Description	Homepage	E-mail
SICV Brasil	The Brazilian LCI database for national products. It is meant to consolidate and harmonize the inventories, under a common format, in order to increase the competitiveness and the environmental awareness of the industrial sector.	<a href="http://sicv.acv.br/Node">http://sicv.acv.br/Node</a>	acv@bict.br
Thinkstep AG	Thinkstep provides a total of 4000+ data sets developed from the GaBi databases spanning all relevant sectors, including plastics, chemicals, construction, renewables, and electronics, the official Environmental Footprint data stocks, 2000 free-of-charge	<a href="http://lcdn.thinkstep.com/Node">http://lcdn.thinkstep.com/Node</a>	info@gabi-software.com





thinkstep thinkstep AG

Data stock: Free\_Gabi\_data [Download]

Selected data stock: Free\_Gabi\_data

- Home
- Browse Data Sets
- Processes
- LCIA Methods
- Elementary Flows
- Product Flows
- Flow Properties
- Unit Groups
- Sources
- Contacts
- Search Data Sets
- Search Processes

**Welcome!**

Due to contractual and legal reasons, access to the PEF/OEF datasets is at the moment limited to authorized persons only. Access to the Free\_Gabi\_Data is possible without registration.

**Notification:**

Please be aware, the aggregated datasets (LCI results) are already approved by the European Commission. The first level disaggregated (Partly terminated system and complementary processes) are still in draft status (subject to changes).

**About the official PEF/OEF data on this ILCDN node**

thinkstep, supported by various industry associations for a number of datasets with data and information, provides a large number of its high quality GaBi core datasets, methodologically adjusted, for free use by companies that implement official Product and Organisation Environmental Footprint (PEF/OEF) studies under any of the 24 PEFCRs or 2 OEFSCRs that are currently being piloted under the Single Market for Green Products initiative by the European Commission (see <http://ec.europa.eu/environment/eussd/smqo/index.htm>). This free use of these mandatory-to-use datasets is granted for this specific purpose by the European Commission under contracts ENV.A.1/SER/2015/0049v (Energy and transport), ENV.A.1/SER/2016/0037v (Metals and minerals), ENV.B.1/SER/2016/0048v (Packaging), ENV.B.1/SER/2016/0051v (Incineration), ENV.B.1/SER/2016/0054v (Plastics (non-packaging)), ENV.B.1/SER/2016/0056v (End-of-Life (excluding incineration) and Recycling), ENV.B.1/SER/2016/0053v (Electrical and electronics). Jointly they make up more than half of the official PEF/OEF datasets.

The LCI datasets of the "EF\_all\_thinkstep\_data" data stock can be used by any person or entity for implementing and using Product Environmental Footprint (PEF) studies and Organisation Environmental Footprint (OEF) studies exclusively under the aforementioned 26 PEFCRs/OEFSCRs and in accordance with the terms and conditions of the revised End User License Agreement (EULA) provided with each dataset and here: [http://www.gabi-software.com/fileadmin/gabi/EULA\\_European\\_Commission\\_-\\_use\\_of\\_thinkstep\\_LCI\\_data.pdf](http://www.gabi-software.com/fileadmin/gabi/EULA_European_Commission_-_use_of_thinkstep_LCI_data.pdf). The datasets can be reproduced and adapted for all media and using any channels and methods. The granting of rights is valid for the entire world and till 31st December 2020, free of any charge.

**Search Process data sets**

Search terms will be interpreted as additive search conditions. To include all options, just leave all possible entries unselected

Search Search across network

**Search in name and other description fields**

Name:

Other description fields:

**Geographical and time coverage**

Geographical Coverage:  between  and

Type of data set:  only parameterized

**Classification**

Available Classes		Selected Classes
Processes / Agriculture forestry fishing	→	Processes / Transport
Processes / Construction industry	→	
Processes / Disposal	←	
Processes / Energy conversion	←	
Processes / Manufacturing	←	
Processes / Production	←	

Search



Search Results

[Back to search form](#)

Name	Type	Location	Classification	Reference year	Valid until
Truck-trailer, diesel driven, Euro 3, cargo, consumption mix, 28 - 34t gross weight / 22t payload capacity	(Parameterized) Unit process, single operation	GLO	Processes / Transport / Road / Truck	2013	2016
Truck-trailer, diesel driven, Euro 3, cargo, consumption mix, 34 - 40t gross weight / 27t payload capacity	(Parameterized) Unit process, single operation	GLO	Processes / Transport / Road / Truck	2013	2016
Truck-trailer, diesel driven, Euro 3, cargo, consumption mix, up to 28t gross weight / 12.4t payload capacity	(Parameterized) Unit process, single operation	GLO	Processes / Transport / Road / Truck	2013	2016
Truck-trailer, diesel driven, Euro 4, cargo, consumption mix, 28 - 34t gross weight / 22t payload capacity	(Parameterized) Unit process, single operation	GLO	Processes / Transport / Road / Truck	2013	2016
Truck-trailer, diesel driven, Euro 4, cargo, consumption mix, 34 - 40t gross weight / 27t payload capacity	(Parameterized) Unit process, single operation	GLO	Processes / Transport / Road / Truck	2012	2016
Truck-trailer, diesel driven, Euro 4, cargo, consumption mix, up to 28t gross weight / 12.4t payload capacity	(Parameterized) Unit process, single operation	GLO	Processes / Transport / Road / Truck	2013	2016
Truck-trailer, diesel driven, Euro 5, cargo, consumption mix, 28 - 34t gross weight / 22t payload capacity	(Parameterized) Unit process, single operation	GLO	Processes / Transport / Road / Truck	2013	2016
Truck-trailer, diesel driven, Euro 5, cargo, consumption mix, 34 - 40t gross weight / 27t payload capacity	(Parameterized) Unit process, single operation	GLO	Processes / Transport / Road / Truck	2013	2016
Truck-trailer, diesel driven, Euro 5, cargo, consumption mix, up to 28t gross weight / 12.4t payload capacity	(Parameterized) Unit process, single operation	GLO	Processes / Transport / Road / Truck	2013	2016
Truck-trailer, diesel driven, Euro 6, cargo, technology mix, 28 - 34t gross weight / 22t payload capacity	(Parameterized) Unit process, single operation	GLO	Processes / Transport / Road / Truck	2013	2016

Process data set overview page

Data set: Truck-trailer (09.00.000)

Full name				Location	Reference year	Valid until
Truck-trailer; diesel driven, Euro 4, cargo; consumption mix; 34 - 40t gross weight / 27t payload capacity				GLO	2012	2016
Reference flow(s)	Type	Parameterized?	LCIA results included?			
o Cargo - 1.0 kg (Mass)	Unit process, single operation	yes	no			
Category			Synonyms			
Processes / Transport / Road / Truck			articulated lorry			
Use advice for data set						Data set use approval No official approval by producer or operator
The data set should be used for LCIA/LCA studies where bulk or packaged goods have to be transported via road (motorway) over a longer distance. The data set allows individual settings of the variable parameters. The following parameters are variable: payload, utilisation ratio, distance, sulphur content of fuel and driving share urban/interurban/motorway. Default values of the variable parameters have to be checked and adjusted for individual use. The data set does not include the fuel supply route. Therefore the fuel supply data set has to be linked with this data set.						
General comment						
- average emission values Euro 4 - source emissions/driving share: HBEFA 3.1, status January 2010 - input parameter: distance [km], payload [t], utilisation [-], sulphur content diesel [ppm], driving share motorway/rural/urban - average sulphur content: EU = 10 ppm						
LCI Method Principle		LCI Method Approaches		Completeness of product model		
Attributional		o Not applicable		All relevant flows quantified		
Reviews						
Independent external review by						
o GaBi user community						
o GaBi bug forum						
o GaBi user forum						
Dependent internal review by						
o PE INTERNATIONAL						
o LBP-GaBi						
o IRP-GaBi						
Data quality indicators						
o Overall quality: Good						
o Methodological appropriateness and consistency: Good						
o Precision: Good						
o Completeness: Good						
o Geographical representativeness: Very good						
o Time representativeness: Very good						
o Technological representativeness: Very good						

Administrative information	
Commissioner and goal	
Commissioner of data set	PE INTERNATIONAL
Intended applications	The data set represents a cradle to gate inventory. It can be used to characterise the supply chain situation of the respective commodity in a representative manner. Combination with individual unit processes using this commodity enables the generation of user-specific (product) LCAs. The data set does not necessarily fit for any possible specific supply situation - especially if significantly different technology routes exist - but is representative for a common supply chain situation.
Data generator	
Data set generator / modeller	LBP-GaBi
Data entry by	
Time stamp (last saved)	2014-12-01T00:00:00+01:00
Data set format(s)	ILCD format 1.1
Data entry by	PE INTERNATIONAL
Official approval of data set by producer/operator	No official approval by producer or operator
Publications and identifiers	
LCID	09-98254-9d64-4739-8dc5-16cb5b0c45f
Date of last revision	2014-12-01T00:00:00+01:00
Data set version	09.00.000
Workflow and publication status	Data set finalised, entirely published
Unchanged re-publication of	GaBi databases
Owner of data set	PE INTERNATIONAL
Copyright	Yes
License type	Other
Access and use restrictions	GaBi (source code, database including extension modules and single data sets, documentation) remains property of PE INTERNATIONAL AG. PE INTERNATIONAL AG delivers GaBi licenses comprising data storage medium and manual as ordered by the customer. The license guarantees the right of use for one installation of GaBi. Further installations using the same license are not permitted. Additional licenses are only valid if the licensee holds at least one main license. Licenses are not transferable and must only be used within the licensee's organisation. Data sets may be copied for internal use. The number of copies is restricted to the number of licenses of the software system GaBi the licensee owns. The right of use is exclusively valid for the licensee. All rights reserved. thinkstep has put this specific dataset into The Life Cycle Data Network (LCDN) ( <a href="http://46.163.107.157:8080/Node/index.xhtml">http://46.163.107.157:8080/Node/index.xhtml</a> ) under the following Creative Commons license <a href="http://creativecommons.org/licenses/by-nc-nd/4.0/">http://creativecommons.org/licenses/by-nc-nd/4.0/</a>



- 
2. LCI datasets developed by Industrial European Associations shall be used for modelling the raw materials production, such as PlasticsEurope, European Aluminum Association, Worldsteel Association.
  3. LCI processes available in LCA databases as much as possible representative of the reference sector.
  4. Generic processes to be used as proxy available in the LCA database.



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## ANNEX II Template for PEF-based report

To be further integrated in the final version of the guidelines.



## ANNEX III Glossary

**Activity data** - This term refers to information which is associated with processes while modelling Life Cycle Inventories (LCI). In the PEF Guide it is also called “non-elementary flows”. Examples of activity data include quantity of kilowatt-hours of electricity used, quantity of fuel used, output of a process (e.g. waste), number of hours equipment is operated, distance travelled, floor area of a building, etc. In the context of PEF the amounts of ingredients from the bill of material (BOM) shall always be considered as activity data (PEF Guidance).

**Aggregated dataset** - This term is defined as a life cycle inventory of multiple unit processes (e.g. material or energy production) or life cycle stages (cradle-to-gate), but for which the inputs and outputs are provided only at the aggregated level (PEF Guidance).

**Allocation** - Partitioning the input or output flows of a process or a product system between the product system under study and one or more other product systems (ISO 14040:2006).

**Characterization factor** - Factor derived from a characterization model which is applied to convert an assigned life cycle inventory analysis result to the common unit of the category indicator (ISO 14040:2006).

**Company-specific data** - It refers to directly measured or collected data from one or multiple facilities (site-specific data) that are representative for the activities of the company. It is synonymous to “primary data”. To determine the level of representativeness a sampling procedure can be applied (PEF Guidance).

**Co-product** - any of two or more products coming from the same unit process or product system (ISO 14040:2006).

**Cut-off criteria** - Specification of the amount of material or energy flow or the level of environmental significance associated with unit processes or product system to be excluded from a study (ISO 14040:2006).

**Functional unit** - Quantified performance of a product system for use as a reference unit (ISO 14040:2006).

**Input flows** - Product, material or energy flow that enters a unit process. Products and materials include raw materials, intermediate products and co-products (ISO 14040:2006).

**Intermediate product** - An intermediate product is a product that requires further processing before it is saleable to the final consumer (PEF Guidance).

**Impact category** - Class representing environmental issues of concern to which life cycle inventory analysis results may be assigned (ISO 14040:2006).

**LCA** - Compilation and evaluation of the inputs, outputs and the potential environmental impacts of a product system throughout its life cycle (ISO 14040:2006).

**Life Cycle Inventory (LCI)** - The combined set of exchanges of elementary, waste and product flows in a LCI dataset (PEF Guidance).

**Life Cycle Inventory (LCI) dataset** - A document or file with life cycle information of a specified product or other reference (e.g., site, process), covering descriptive metadata and quantitative



life cycle inventory. A LCI dataset could be a unit process dataset, partially aggregated or an aggregated dataset (PEF Guidance).

**Output flows** - Product, material or energy flow that leaves a unit process. Products and materials include raw materials, intermediate products, co-products and releases (ISO 14040:2006).

**Primary data** - This term refers to data from specific processes within the supply-chain of the company performing the PEF study. Such data may take the form of activity data, or foreground elementary flows (life cycle inventory). Primary data are site-specific, company-specific (if multiple sites for the same product) or supply-chain-specific. Primary data may be obtained through meter readings, purchase records, utility bills, engineering models, direct monitoring, material/product balances, stoichiometry, or other methods for obtaining data from specific processes in the value chain of the company performing the PEF study. In this Guidelines, primary data is synonym of "company-specific data" or "supply-chain specific data" (PEF Guidance).

**Product Environmental Footprint Category Rules (PEFCRs)** - Product category-specific, life-cycle-based rules that complement general methodological guidance for PEF studies by providing further specification at the level of a specific product category. PEFCRs help to shift the focus of the PEF study towards those aspects and parameters that matter the most, and hence contribute to increased relevance, reproducibility and consistency of the results by reducing costs versus a study based on the comprehensive requirements of the PEF guide (PEF Guidance).

**Product system** - Collection of unit processes with elementary and product flows, performing one or more defined functions, and which models the life cycle of a product (ISO 14040:2006).

**Secondary data** - It refers to data not from specific process within the supply-chain of the company performing the PEF study. This refers to data that is not directly collected, measured, or estimated by the company, but sourced from a third party life-cycle-inventory database or other sources. Secondary data includes industry-average data (e.g., from published production data, government statistics, and industry associations), literature studies, engineering studies and patents, and can also be based on financial data, and contain proxy data, and other generic data. Primary data that go through a horizontal aggregation step are considered as secondary data (PEF Guidance).

**Sensitivity analysis** - Systematic procedures for estimating the effects of the choices made regarding methods and data on the outcome of a study (ISO 14040:2006).

**Site-specific data** - It refers to directly measured or collected data from one facility (production site). It is synonymous to "primary data" (PEF Guidance).

**System boundary** - Set of criteria specifying which unit processes are part of a product system (ISO 14040:2006).

**Supply-chain** - It refers to all of the upstream and downstream activities associated with the operations of the company performing the PEF study, including the use of sold products by consumers and the end-of-life treatment of sold products after consumer use (PEF Guidance).